

PTO/SB/08a (05-03)

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	1
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**Complete if Known**

Application Number	10/783,717
Filing Date	February 20, 2004
First Named Inventor	Steve G. Baker
Art Unit	3731
Examiner Name	
Attorney Docket Number	2234-3-3

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date Considered

3/12/07

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
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Sheet 1 of 2

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Application Number	10/783,717
Filing Date	February 20, 2004
First Named Inventor	Steve G. Baker
Art Unit	3731
Examiner Name	Natalie R. Pous
Attorney Docket Number	2234-3-3

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
MRP ↓		US-5,626,614 A	05/06/97	Hart	
		US- 6,419,669 B1	07/16/02	Frazier, et al.	
		US- 7,083,630 B2	08/01/06	Devries et al.	
		US- 2005/0004575	01/06/05	Sgro et al.	
		US- 6,491,707 B2	12/10/02	Makower et al.	
		US- 2003/0216813	11/20/03	Suzuki et al.	
		US- 6,315,789	11/13/01	Cragg	
		US- 6,699,263 B2	03/02/04	Cope	
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

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Signature

Natalie Pous

Date  
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				Attorney Docket Number	2234-3-3
Sheet	2	of	2		

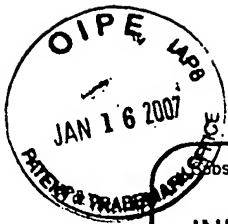
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Examiner Signature	<i>Natasha Payne</i>	Date Considered	3/12/07
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			Filing Date	February 20, 2004
			First Named Inventor	Steve G. Baker
			Art Unit	3731
			Examiner Name	Natalie R. Pous
			Attorney Docket Number	2234-3-3
Sheet	1	of	2	

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		Number - Kind Code <sup>2</sup> (if known)			
NR		US-6,113,611	09/05/2000	Allen et al.	
		US-			
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner Signature	<i>Natalie Pous</i>	Date Considered	3/12/07
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				Group Art Unit	3731
				Examiner Name	Natalie R. Pous
				Attorney Docket Number	2234-3-3
Sheet	2	of	2		

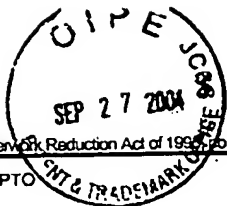
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Examiner Signature	<i>Natasha Law</i>	Date Considered	3/12/07
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First Named Inventor	Steve G. Baker
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Attorney Docket Number	2234-3-3

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MP V		US-2004/0147958 A1	07/29/04	Lam et al.	
		US-2004/0153102 A1	08/05/04	Therin et al.	
		US-2004/0153103 A1	08/05/04	Schwartz et al.	
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Examiner Signature	<i>Natasha Paus</i>	Date Considered	3/12/07
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NRP		US-2003/0220657A1	11/27/2003	ADAMS.	
		US- 2004/0044384A1	03/04/2004	DeVries et al.	
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		US- 5,897,562	04/27/99	Bolanos et al.	
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		US- 6,663,639	12/16/2003	Laufer	
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		US- 5,254,126	10/19/1993	Filipi et al.	
		US- 6,551,333	04/22/03	Kuhns, et al.	
		US- 6,447,524	09/10/02	Knodel, et al.	

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NLS ↓ ✓		PCT/US00/17260/WO 00/78227	12/28/00	NDO Surgical, Inc.		
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		PCT/US00/30038/WO01/35834	05/25/01	Scimed Life Systems, Inc.		
		WO 2004/019788	03/11/04	Scimed Life Systems, Inc.		
		WO 2004/019787	03/11/04	Scimed Life Systems, Inc.		

Natalia Fane

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**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

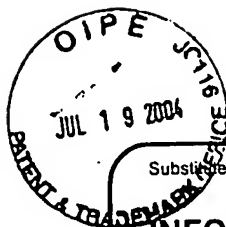
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
NRP		Myths of the esophagus; Lucius D. Hill; The Journal of Thoracic and Cardiovascular Surgery; vol. 98, No. 1; July 1989; pp 1-10	
↓		The Gastroesophageal Flap Valve; L.D. Hill et al., J Clin Gastroenterol 1999;28(3):194-197; Lippincot williams & Wilkins, Inc., Philadelphia	
↓		The gastroesophageal flap valve: in vitro and in vivo observations; Lucius D. Hill et al.; Gastrointestinal Endoscopy; Vol. 44, No. 5, 1996; pp 541-547	
↓		Reappraisal of the flap valve mechanism in the gastroesophageal junction: A study of a new valvuloplasty procedure in cadavers; Kjell B.A. Thor et al.; Acta Chir Scand 153:25-28, 1987	

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Sheet 1 of 1

**Complete if Known**

Application Number	10/783,717
Filing Date	February 20, 2004
First Named Inventor	Steve G. Baker
Art Unit	3731
Examiner Name	
Attorney Docket Number	2234-3-3

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
NPS		US-2004/0116949 A1	06/17/04	Ewers et al.	
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**FOREIGN PATENT DOCUMENTS**

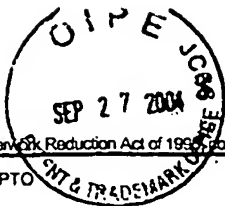
Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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		Number - Kind Code <sup>2</sup> (if known)			
MRP ↓		US-2004/0147958 A1	07/29/04	Lam et al.	
		US- 2004/0153102 A1	08/05/04	Therin et al.	
		US- 2004/0153103 A1	08/05/04	Schwartz et al.	
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